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### **Health and Social Care Committee**

Meeting Venue:
Committee Room 3 - Senedd

Meeting date:
5 December 2013

Meeting time:
09:10 - 16:30

Cynulliad Cenedlaethol Cymru National Assembly for Wales



For further information please contact:

Llinos Madeley/Helen Finlayson Committee Clerk 029 2089 8403/8600 HSCCommittee@wales.gov.uk

### Agenda

(Pre-meeting - 09:10 - 09:15)

- 1 Introductions, apologies and substitutions
- 2 Social Services and Well-being (Wales) Bill: Stage 2 Consideration of amendments (09:15 16:00)

In accordance with Standing Order 26.21, the Committee will dispose of amendments to the Bill in the following order:

- · Sections 43-69
- ·Schedule 1
- ·Sections 70-119
- ·Schedule 2
- ·Sections 120-160
- ·Schedule 3
- ·Sections 161-169
- ·Section 1
- · Long title

#### Supporting documents:

Marshalled List of Amendments, 5 December 2013 Groupings of Amendments, 5 December 2013

In attendance:

Gwenda Thomas AM, Deputy Minister for Social Services

Note: The Chair of the Committee will call for breaks at appropriate points during proceedings.

**3 Papers to note** (Pages 1 - 3)

Letter from the Deputy Minister for Social Services - Social Services and Wellbeing (Wales) Bill: direct payments (Pages 4 - 8)

Letter from the Deputy Minister for Social Services in relation to the 'When I am ready' scheme (Page 9)

- 4 Motion under Standing Order 17.42 to resolve to exclude the public from the remainder of the meeting
- **5 Consideration of the forward work programme (16:05 16:30)** (Pages 10 13)

### Agenda Item 3

### Health and Social Care Committee

Meeting Venue: Committee Room 1 – Senedd

Meeting date: Thursday, 21 November 2013

Meeting time: 09:31 – 11:44

Cynulliad Cenedlaethol **Cymru** National

National Assembly for **Wales** 



This meeting can be viewed on Senedd TV at:

http://www.senedd.tv/archiveplayer.jsf?v=en\_200000\_21\_11\_2013&t=0&l=en

### Concise Minutes:

Assembly Members: David Rees (Chair)

**Leighton Andrews** 

Mohammad Asghar (Oscar) AM

**Rebecca Evans** 

William Graham

**Elin Jones** 

Lynne Neagle

Gwyn R Price

**Lindsay Whittle** 

**Kirsty Williams** 

Witnesses: David Thomas, Welsh Government
Lisa Howells, Welsh Government

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Committee Staff: Llinos Madeley (Clerk)

Sarah Sargent (Deputy Clerk)

Victoria Paris (Researcher)

Stephen Boyce (Researcher)

Philippa Watkins (Researcher)

### 1 Introductions, apologies and substitutions

1.1 Apologies were received from Darren Millar. Mohammad Asghar attended as a substitute.

### 2 General scrutiny session with the Chief Dental Officer

- 2.1 The Committee undertook a general scrutiny session with David Thomas, the Chief Dental Officer, and his colleague Lisa Howells, a Senior Dental Officer.
- 2.2 The Chief Dental Officer agreed to provide the Committee with:
  - additional information on the evaluations undertaken in relation to the quality and outcome and the children and young people dental pilots;
  - additional information on the reasons why details on funding allocations to individual dental practices are no longer published;
  - a copy of Public Health Wales' revised guidance on dealing with missed appointments, when available;
  - a note on the additional orthodontic services commissioned recently by Hywel Dda Local Health Board, and a copy of the additional data on orthodontic activity in Wales to which he referred during the meeting;
  - a note on the action being undertaken by Cardiff and Vale University Health Board in relation to tooth whitening and the illegal practice of dentistry;
  - information regarding the number of domiciliary dental visits undertaken in Wales during the last year.

### 3 Papers to note

- 3.1 The Committee noted the minutes of the meetings of 7 and 13 November.
- 3.1 Additional information submitted to the follow-up inquiry on stroke risk reduction following the session on 23 October
- 3a.1 The Committee noted the additional information relating to the follow-up inquiry on stroke risk reduction.
- 3.2 Letter from the Minister for Health and Social Services measles single vaccine provider
- 3b.1 The Committee noted the letter from the Minister for Health and Social Services in relation to measles single vaccine providers.
- 3.3 Letter from the Minister for Health and Social Services unscheduled care plans and formal winter plans
- 3c.1 The Committee noted the letter from the Minister for Health and Social Services in relation to unscheduled care and formal winter plans.

## 4 Motion under Standing Order 17.42 to resolve to exclude the public from the remainder of the meeting

4.1 The motion was agreed.

### 5 General scrutiny session with the Chief Dental Officer – private discussion to consider evidence

5.1 The Committee discussed the evidence heard in the earlier session with the Chief Dental Officer.

# 6 Consideration of the key issues arising from the Committee's follow-up inquiry on stroke risk reduction

6.1 The Committee discussed the key issues arising from the follow-up inquiry on stroke risk-reduction and agreed to write shortly to the Minister for Health and Social Services outlining its conclusions.

## 7 Consideration of the key issues arising from the Committee's scrutiny session on unscheduled care – preparedness for winter 2013/14

7.1 The Committee discussed the key issues arising from the scrutiny session on unscheduled care – preparedness for winter 2013/14 and agreed to write shortly to the Minister for Health and Social Services outlining its conclusions.

#### 8 Committee remit

8.1 The Committee considered a paper on committee remits. The Chair agreed to write to the Presiding Officer.

### Agenda Item 3a

Gwenda Thomas AC / AM Y Dirprwy Weinidog Gwasanaethau Cymdeithasol Deputy Minister for Social Services



Llywodraeth Cymru Welsh Government

Our ref: LF GT 734 13

David Rees AM Chair Health and Social Care Committee National Assembly for Wales Cardiff Bay Cardiff CF99 1NA

Dear Savid,

25 November 2013

### Social Services and Well-being (Wales) Bill - Direct Payments

In keeping with my commitment to keep the Committee informed of issues affecting the Social Services and Well-being (Wales) Bill, I am writing to you as regards the future provision of direct payments in Wales. This is by way of confirming my response to the Committee's Stage 1 Scrutiny Report recommendation on placing a duty on local authorities under section 34 of the Bill to promote direct payments.

Like the Committee I am keen to increase the uptake of direct payments in Wales and to ensure that those who would benefit from these are properly informed of this option, and are able to make an informed choice about whether a direct payment is right for them. To facilitate this a set of key principles on the future provision of direct payments under the Bill has been drawn up by the Direct Payments Overview Group and I attach a copy of these for the Committee's information.

I established the Direct Payments Overview Group a number of years ago to advise me on policy issues relating to direct payments and to monitor how direct payments arrangements are working across Wales. It consists of representatives from local authorities, the third sector and direct payment recipients themselves. Its work is informed by the work of two other networks; the All-Wales Direct Payments Forum (for local authority direct payments officers) and the Direct Payments Support Schemes Network (which brings together support scheme providers from across Wales). The third sector representatives on the Overview Group are from Disability Wales, Age Cymru and MS Society Cymru.

I asked the Overview Group to work with my officials to develop a set of key principles on the future provision of direct payments in Wales. This was to help me achieve my policy intention as regarding their future provision under the Bill so as to increase their uptake and to make it easier for those who would benefit from these to access them and be able to use them effectively to meet their care and support needs. The Overview Group met between March and July this year to develop these principles. They will be used by the Group and my officials to underpin the co-production of the regulations and code of practice on direct

Bae Caerdydd • Cardiff Bay Caerdydd • Cardiff CF99 1NA

English Enquiry Line 0845 010 3300 Llinell Ymholiadau Cymraeg 0845 010 4400 Correspondence.Gwenda.Thomas@wales.gsi.gov.uk Printed on 100% recycled paper payments envisaged under the Bill to ensure that my policy aspirations are delivered in practice.

As regards the Committee's Stage 1 recommendation on introducing a duty on local authorities to promote direct payments, while I am sympathetic to the intention behind this I believe there is a more appropriate way of delivering it. I am concerned that placing a duty on authorities to promote direct payments could be misinterpreted, resulting in direct payments being promoted over other ways of meeting care and support needs, thereby becoming the default in the way in which such needs are met. While for many direct payments offer real choice in the way a person's care and support is met, and are therefore appropriate, for others direct payments are not the answer. I note that the Committee's majority view was that it did not want to see direct payments becoming such a default in the way care and support was provided in Wales.

As a result in recognition of the Committee's recommendation I have tabled Government amendments to the Bill to address this issue. The first, Government amendment 139, requires the Welsh Ministers to make regulations so that they must specify the steps a local authority must take to ensure those able to receive a direct payment are able to make an informed choice as to whether a direct payment is right for them. In this way we can ensure that those able to receive a direct payment are not only informed of this option but receive key information in order to consider this without undue influence from their local authority. Such information would include, for example, what their direct payment would entail, what support they would receive if they chose to have one, and other core data required to make an informed choice. The second, Government amendment 504, adds the option of receiving a direct payment to the list of ways in which care and support can be met outlined at section 20(2) of the Bill. This is to ensure that the option of receiving a direct payment to meet care and support needs is emphasised in the Bill but done so in the context of the other ways of meeting such needs. As you will be aware I have also tabled technical and consequential amendments on this matter.

I hope the Committee finds this letter helpful. I look forward to considering these amendments at the relevant point during Stage 2 proceedings. I very much hope Members of the Committee will support the amendments tabled which address their concerns. I am also writing in similar terms to Mark Isherwood AM, whom I have promised to keep informed of developments on the future provision of direct payments in Wales.

Yours sincerely,

Guerda

Gwenda Thomas AC / AM

Y Dirprwy Weinidog Gwasanaethau Cymdeithasol

Deputy Minister for Social Services

### Social Services and Well-being (Wales) Bill

### Principles to underpin future arrangements for Direct Payments in Wales

### 1. Eligibility and choice

- 1.1. All who have eligible needs for care and support should be offered the opportunity to use a direct payment.<sup>1</sup> This includes those who lack the capacity to consent to them, where a 'suitable person' arrangement may be put in place.
- 1.2. Anyone with eligible needs who wants a direct payment should be able to have one.<sup>2</sup>
- 1.3. Individual ability to manage should not necessarily be a barrier to having a Direct Payment.
- 1.4. Individuals with eligible needs should be made aware of the level of support they can expect if they choose to use a direct payment.
- 1.5 Individuals with eligible needs should have appropriate information available prior to the decision to have or not to have a direct payment.
- 1.6. The choice of having a direct payment or not should be made after a full explanation of the advantages, responsibilities (including those of being an employer) level of support available and expectation.
- 1.7. People can choose not to have a direct payment. Where an individual chooses not to have a direct payment, local authorities should record why the individual made this decision after appropriate information was provided.
- 1.8. People who choose not to have a direct payment should nonetheless be encouraged and enabled to have a voice and as much control as possible over the way their care and support is provided.

<sup>1</sup> Subject to the condition set out in sections 34 (3) and (4) (in relation to adults), 35(3), (4) and (5) (in relation to children) and 36 (3) to (7) (in relation to carers) of the Social Services and Well-being (Wales) Bill 2013 that the local authority is satisfied that the individual or suitable person is capable of managing the payments either by themselves or with support. This also applies to principles 1.2 and 1.3 above.

<sup>&</sup>lt;sup>2</sup> The limited circumstances where a local authority has a power (but not a duty) to make Direct Payments (set out in Annex B to the Direct Payments Guidance 2011), and the exclusions to Direct Payments set out in Annex C of the 2011 Guidance, will remain.

### 2. Information

- 2.1. The aim of a direct payment is to increase the independence and control which the individual has over their care and support, and information supplied should encompass these aims.
- 2.2. Information should be provided both by a local authority and also by a local independent support organisation.
- 2.3. The information provided to individuals should include information about the charging implications and all other relevant financial considerations.
- 2.4. Local authorities should be encouraged to put in place a support scheme which can provide impartial but specialised information to the individual, family, carer or suitable person. Local support organisations which are independent of the local authority are well placed to provide such impartial and tailored support.
- 2.5. Local authorities should supply accurate, up-to-date information on the policies and procedures on direct payments and ensure that individuals receive information from the local support organisation as well. This should include information on the legal obligations of being an employer, and the support available to individuals to enable them to fulfil these.

#### 3. Support

- 3.1. Ability to manage the direct payment should not be a deciding factor and should not be a barrier to having a direct payment.
- 3.2. Ways to remove barriers to individuals wishing to receive a direct payment should be actively and positively sought and encouraged. This includes the provision of appropriate advocacy services.
- 3.3. Support should be proportionate to the needs of the individual managing the direct payment, and should be sufficient to enable those individuals who have difficulty managing a direct payment to benefit from using a direct payment.
- 3.4. Support in managing should be consistent with allowing the individual to be in control of their care and support as much as possible, and to take as much responsibility as possible.
- 3.5. The overriding factor should be that where a direct payment will benefit the individual, and the individual has made an informed choice to have a direct payment, the support provided will be citizen-directed. Individuals should have choice and flexibility over the support they receive and who provides it. The support provided should be impartial, comprehensive and flexible enough to meet different individual needs whoever provides it.

3.6. Co-operative and social enterprise models of managing direct payments and supporting users should be encouraged.

#### 4 Reviews and Outcomes

- 4.1. Reviews of direct payments should be based on the outcomes being achieved and not as a comparison to service/needs driven provision.
- 4.2. The review frequency should be sufficient to support people receiving direct payments. Reviews should take place at least annually, and their frequency will depend on individual circumstances including how well the individual is managing the direct payment and the amount of support they need.
- 4.3. Direct payments place citizens/individuals at the heart of decisions about their care and support. The aim is also to minimise local authority influence and maximise individual choice and control over the way outcomes are achieved.
- 4.4. Reassessment and review should take place when a young person transfers to or assumes responsibility for a direct payment arrangement, and at other periods of transition

### 5. Value and Calculation of Direct Payments

- 5.1. The amount of the direct payment should be sufficient to enable the individual to achieve the agreed outcomes, and calculated to meet the cost of the assessed need and the set outcomes (subject to any contribution from the individual recipient).
- 5.2. Where a direct payment user seeks to employ personal assistants, the amount of direct payment should be adequate to cover all associated employment costs and to meet the legal obligations of being an employer.

Gwenda Thomas AC / AM
Y Dirprwy Weinidog Gwasanaethau Cymdeithasol
Deputy Minister for Social Services

Agenda Item 3

Llywodraeth Cymru Welsh Government

Ein cyf/Our ref: MB/GT/5365/13

David Rees AM

Chair

Health and Social Care Committee

National Assembly for Wales

Cardiff Bay

Cardiff

CF99 1NA

DearDavie

November 2013

am Ready scheme for supporting the transition to adulthood for care leavers in Wales I recently gave an assurance that I would write to update you on the current position of the When I

from the pioneer areas in order to inform the further development of this guidance their carers. My officials have also established a monitoring group to collate intelligence and data the further development of the guidance and to ensure it will meet the needs of young people and powers within Part 3 of the Children Act 1989) to enable the experiences in these areas to inform As you will be aware, I agreed that 3 local authorities (Gwynedd, Merthyr Tydfil and Rhondda-Cynon-Taff) should pioneer the When I am Ready scheme in their areas during 2013/14 (using

allocated themes, which are financial aspects in RCT, foster carers' issues in Gwynedd and policies and procedures - including safeguarding issues - in Merthyr. allocated themes, receive reports from each pioneer area of their general experiences at the meetings that good progress is being made. At these monitoring meetings, my officials monitoring group to date, with the next meeting planned for early December. There is consensus WLGA, the Fostering Network and Action for Children. There have been two meetings of the The monitoring group features representation from the three pioneer areas and also from ADSS/ and on their specifically

supported the group with their planning.. designed a monitoring tool incorporating the scheme's objectives and the Welsh Government have The meetings have provided an excellent example of collaborative working. The third sector have

any gap in legislation which may affect When I am Ready being put into practice at the completion of the pioneer phase At the monitoring group meetings held so far, there has been no indication from stakeholders of

I hope that my update is helpful.

Yours sincerely

Gwenda Thomas AC / AM

Y Dirprwy Weinidog Gwasanaethau Cymdeithasol Deputy Minister for Social Services

Bae Caerdydd • Cardiff Bay Caerdydd • Cardiff CF99 1NA Wedi'i argraffu ar bapur wedi'i ailgylchu (100%) Page 9

> English Enquiry Line 0845 010 3300 Llinell Ymholiadau Cymraeg 0845 010 4400 Correspondence.Gwenda.Thomas@wales.gsi.gov.uk Printed on 100% recycled paper

### Agenda Item 5

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